



PATENT

Attorney Docket No.: 399429

Express Mail Label No.: EV 008782189 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Joel Gummeson
Serial No. 10/075,438
Filed: February 14, 2002
For: Waterfast Ink Jet Inks Containing A
UV Curable Resin

Examiner: Not Yet Assigned
Group Art Unit: 1712
Confirmation No.: 8724

BOX: MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO MISSING PARTS OF APPLICATION

The following is being submitted in response to the Notice of Missing Parts Of
Nonprovisional Application dated April 11, 2002.

1. Copy of Notice To File Missing Parts Of Nonprovisional Application
2. Declaration For Utility Or Design Patent Application
2. Assignment Cover Sheet
3. Assignment
4. Power of Attorney By Assignee Of Entire Interest & Certificate of Assignee
Under 37 CFR 3.73(b)

CERTIFICATE UNDER 37 C.F.R. § 1.8 and § 1.10

I hereby certify that, on the date shown below, this correspondence is being

- ☒ deposited with the United States Postal Service in an envelope addressed to Box: Missing Parts, Commissioner for Patents,
Washington, D.C. 20231 with sufficient postage:

MAILING


- ☐ **37 C.F.R. § 1.8**
as first class mail.

- ☒ **37 C.F.R. § 1.10**
as "Express Mail Post Office to Addressee"
EXPRESS MAIL NO. EV008782189US

FACSIMILE TRANSMISSION

- ☐ transmitted by facsimile to the Patent and Trademark Office.

Wendy Precht
Name of Depositor


Signature

June 11, 2002
Date

PATENT

Attorney Docket No.: 399429

Express Mail Label No.: EV 008782189 US

5. Fee Transmittal For FY 2002

Also being filed herewith is the following:

6. Form 1449

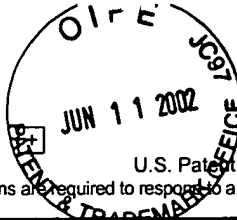
7. Copy of 29 Cited References

Applicant hereby authorizes the fees pursuant to 37 CFR 1.16, \$130.00, which would be due in connection with this late filing of the declaration to be applied against our Deposit Account No. 12-0600. Any additional fee which might be due in connection with this request should be applied against our Deposit Account No. 12-0600.

Respectfully submitted,

By Kenneth D. Goetz
Kenneth D. Goetz, Reg. No. 32,696
LATHROP & GAGE, L.C.
2345 Grand Boulevard, Suite 2800
Kansas City, Missouri 64108
(816) 460-5849 (phone)
(816) 292-2001 (fax)

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Please type a plus sign (+) inside this box →



06-12-02 MPT 8
PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/075,438
Filing Date	February 14, 2002
First Named Inventor	Joel J. Gummeson
Group Art Unit	1712
Examiner Name	Not Yet Assigned
Attorney Docket Number	399429

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Certificate of Assignee Under 37 CFR 3.73(b); Copy of 29 References; Certificate of Mailing & Return Post Card
<div>Remarks</div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Kenneth D. Goetz LATHROP & GAGE LC
Signature	<i>Kenneth D. Goetz</i>
Date	June 11, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: June 11, 2002

Typed or printed name Wendy Precht

Signature

Wendy Precht

Date

June 11, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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FEE TRANSMITTAL
for FY 2002

Patent fees are subject to annual review.

☐ Applicant claims small entity status.**TOTAL AMOUNT OF PAYMENT** (\$) 170**Complete if Known**

Application Number	10/075,438
Filing Date	February 14, 2002
First Named Inventor	Joel Gummesson
Examiner Name	Not Yet Assigned
Group / Art Unit	1712
Attorney Docket No.	399429

METHOD OF PAYMENT (check one)
☐ Check ☐ Credit card ☐ Money ☐ Other
☒ Deposit Account
Deposit
Account
Number

12-0600

Deposit
Account
Name

LATHROP & GAGE LC

The Commissioner is authorized to: (check all that apply)

☒ Charge fees indicated below ☒ Credit any overpayments
☐ Charge Any Additional Fee Required during pendency of this application
☐ Charge Fee(s) indicated below, except for the filing fee to the above-identified deposit account
FEE CALCULATION**1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1)

(\$0)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
	0		0
Independent Claims	0		0
Multiple Dependent			0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$0)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

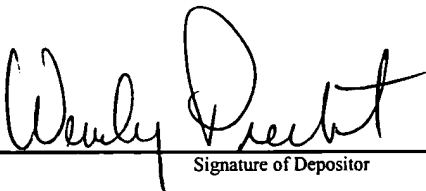
(\$170)

SUBMITTED BY**Complete (if applicable)**

Name (Print/Type)	Kenneth D. Goertz	Registration No. Attorney/Agent)	32,696	Telephone	(816) 460-5849
Signature	<i>Kenneth D. Goertz</i>			Date	June 11, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): Joel J. Gummeson			Matter No. 399429
Serial No. 10/075,438	Filing Date February 14, 2002	Examiner Not Yet Assigned	Group Art Unit 1712
Invention Waterfast Ink Jet Inks Containing A UV Curable Resin			
<p> I hereby certify that this Transmittal Form (1 page); Response to Missing Parts of Application (2 pages); Copy of Notice To File Missing Parts Of Nonprovisional Application (1 page); Declaration For Utility Or Design Patent Application (2 pages); Recordation Form Cover Sheet (2 pages); Assignment (3 pages); Power of Attorney By Assignee Of Entire Interest And Certificate of Assignee Under 37 CFR 3.73(b) [including copy of Assignment] (6 pages); Fee Transmittal For FY 2002 (1 page in duplicate); Information Disclosure Statement By Applicant [Form 1449] (2 pages); Copy of 29 Cited References; authorization to charge Deposit Account No. 12-0600 in the amount of \$130.00 for the Late Filing of Declaration and \$40.00 For Filing the Assignment; authorization to charge additional fees that may be required, or credit any overpayment, to Deposit Account No. 12-0600; and return post card are being mailed in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on this 11th day of June, 2002. </p>			
<div style="text-align: right;"> Wendy Precht _____ Name of Depositor </div>			
<div style="text-align: right;">  _____ Signature of Depositor </div>			
<div style="text-align: right;"> EV 008782189 US _____ Express Mail Label No. </div>			

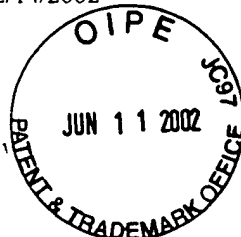


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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

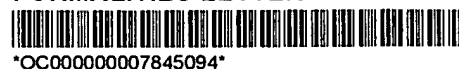
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/075,438	02/14/2002	Joel J. Gummeson	399429

30954
LATHROP & GAGE LC
2345 GRAND AVENUE
SUITE 2800
KANSAS CITY, MO 64108



CONFIRMATION NO. 8724

FORMALITIES LETTER



OC00000007845094

Date Mailed: 04/11/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/18/2002 MBERHE 00000053 120600 10075438

01 FC:105 130.00 CH